



| | | |
|--|--|---|
| Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office | Docket No. POPT-0004 | Application No. 09/676,374 |
| | Applicant Ernest H. Zerenner, et al. | |
| | Filing Date September 29, 2000 | Group 3624 |
| | Confirmation No. 1828 | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
| | 42 | OptionFind.com, www.optionfind.com , ©1998 Productivity Systems, 3 pages |
| | 43 | OptionsNewsletter: Stock Options made Easy, http://www.optionsnewsletter.com , ©QuoteMedia, 18 pages |
| | 44 | E*Trade-research from Investors, www.etrade.com , ©1997 E*Trade Securities, 7 pages |
| | 45 | INVESTools-Trusted Advice for Independent Investors, www.investools.com , ©2000, 4 pages |
| | 46 | Yahoo!Finance, www.yahoo.com , ©2000, 2 pages |
| | 47 | Hoovers Online, The Business Network, www.hoovers.com , 2000, 1 page |
| | 48 | Covered Call Screening www.investorsmind.com , © 1996-2000 Investorsmind.com, 2 pages |
| | 49 | OptionsXpress-Option Screener, www.optionsxpress.com , @2000, 1 page |
| | 50 | Merrill Lynch- www.newmlol.com , © 2001, 15 pages |
| | 51 | Your Finance Resource, www.investmentdiscovery.com , 2006, 2 pages |
| EXAMINER | DATE CONSIDERED | |
| /Daniel Felten/ | 06/16/2012 | |



| | | |
|---|--|--|
| Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office | Docket No. POPT-0004 | Application No. 09/676,374 |
| | Applicant Ernest H. Zerenner, et al. | |
| | Filing Date September 29, 2000 | Group 3624 |
| | Confirmation No. 1828 | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
| | 52 | <u>www.optionsearcher.com</u> , © 2001-2006 OptionSearcher.com, 5 pages |
| | 53 | Optionetics.com: An Investment Education Resource for Stock and Options Traders, <u>www.optionetics.com</u> , © 1995-2006, 19 pages |
| | 54 | OptionMonitor, Your Source for Covered Call Option Data and Analysis, <u>www.optionmonitor.com</u> , © 2001-2006 Option Review, Inc., 10 pages |
| | 55 | <u>www.msn.com</u> , Home page, 2006 © Microsoft, 13 pages |
| | 56 | Financial Planning and Small Business Software for Individuals-Official Quicken Site, <u>http://quicken.intuit.com</u> , © 2006 Intuit, Inc., 10 pages |
| | 57 | Carey, T.W., "Calls of the Mild", <i>Barron's Technology Week</i> , April 29, 2002 , 1 page |
| | 58 | OptionFind.com, <u>www.optionfind.com</u> , February 24, 2000 , 6 pages |
| | 59 | OptionsXpress-Option Screener, <u>www.optionsxpress.com</u> , October 3, 2005 , 4 pages |
| | | |
| | | |
| EXAMINER | /Daniel Felten/ | DATE CONSIDERED 07/02/2012 |


Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
POPT-0004

Application No.
09/676,374

Applicant
Ernest H. Zerenner, et al.

Filing Date
September 29, 2000

Group
3624

Confirmation No.
1828

U. S. PATENT DOCUMENTS

| Examiner Initial | | Document No. | Date | Name | Class | Subclass |
|------------------|-----------|-----------------|----------|----------------------|-------|----------|
| | 60 | 5,414,838 | 05/09/95 | Kolton, et al. | 395 | 600 |
| | 61 | 5,862,223 | 01/19/99 | Walker, et al. | 380 | 25 |
| | 62 | 6,058,378 | 05/02/00 | Clark, et al. | 705 | 37 |
| | 63 | 2001/0056392 A1 | 12/27/01 | Daugherty, V. L. III | 705 | 36 |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | | Document No. | Date | Country | Translation | |
|------------------|--|--------------|------|---------|-------------|----|
| | | | | | YES | NO |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

EXAMINER /Daniel Felten/

DATE CONSIDERED

07/02/2012